

# MINIMAL REDUCING SUBSPACES OF THE UNILATERAL SHIFT

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## Abstract

We introduce a family  $\mathcal{T}$  consisting of invertible matrices with exactly one non zero entry in each row and each column. The elements of  $\mathcal{T}$  are mutually non commuting, and need not be normal or self adjoint. We consider the operator weighted sequence space  $l_B^2(K)$  with a uniformly bounded weight sequence  $B = \{B_n\}_{n=0}^\infty$  in  $\mathcal{T}$ . For the unilateral shift  $S$  on  $l_B^2(K)$ , we obtain a complete description of the reducing and minimal reducing subspaces of  $S$ .

## 1 Introduction

Let  $K$  be a separable complex Hilbert space, and  $l^2(K) = \oplus_0^\infty K$  be the orthogonal sum of  $\aleph_0$  copies of the Hilbert space  $K$  with a scalar product defined by

$$\langle f, g \rangle = \sum_{n=0}^{\infty} \langle f_n, g_n \rangle, \quad f = (f_0, f_1, \dots) \in l^2(K), g = (g_0, g_1, \dots) \in l^2(K).$$

Let  $\{e_i\}_{i=0}^\infty$  be an orthonormal basis for  $K$ . Also for  $i, j \in \{0, 1, 2, \dots\}$ , let  $g_{i,j} := (0, \dots, e_i, 0, \dots)$  where  $e_i$  occurs at the  $j^{\text{th}}$  position. If  $\mathbb{N}_0 := \{0, 1, 2, \dots\}$  then  $\{g_{i,j}\}_{i,j \in \mathbb{N}_0}$  is an orthonormal basis for the Hilbert space  $l^2(K)$ .

Let  $\mathcal{B}(K)$  denote the space of all bounded linear operators on  $K$  with norm defined as  $\|T\| = \sup_{\|x\|=1} \|Tx\|$  for  $T \in \mathcal{B}(K)$ . Let us now consider the

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operator weighted sequence space  $l_B^2(K)$ . To define  $l_B^2(K)$ , let  $B = \{B_n\}_{n=0}^\infty$  be a sequence of invertible bounded linear operators on  $K$ , and  $l_B^2(K) := \{(f_0, f_1, \dots) : f_i \in K \text{ and } \sum_{i=0}^\infty \|B_i f_i\|^2 < \infty\}$ . For  $f = (f_i)$  and  $g = (g_i)$  in  $l_B^2(K)$ , we have

$$\langle f, g \rangle_B := \sum_{i=0}^\infty \langle B_i f_i, B_i g_i \rangle \text{ and } \|f\|_B^2 = \sum_{i=0}^\infty \|B_i f_i\|^2.$$

As  $\|g_{i,j}\|_B = \|B_j e_i\|$ , so if  $f_{i,j} := \frac{g_{i,j}}{\|B_j e_i\|}$ , then  $\{f_{i,j}\}_{i,j \in \mathbb{N}_0}$  is an orthonormal basis for the Hilbert space  $l_B^2(K)$ . If  $\dim K = 1$ , then each  $B_n$  is a non zero scalar  $\beta_n$ , and  $l_B^2(K)$  is the scalar weighted sequence space  $l_\beta^2$  defined in [4].

Depending on the weights  $\{B_n\}$  we can have different types of operator weighted sequence spaces  $l_B^2(K)$ . In this paper we consider the weights  $B_n$  to belong to a special subset  $\mathcal{T}$  of  $\mathcal{B}(K)$  defined as follows:

$\mathcal{T} := \{T \in \mathcal{B}(K) \mid T \text{ is invertible in } \mathcal{B}(K) \text{ and the matrix of } T \text{ with respect to } \{e_n\}_0^\infty \text{ has exactly one non zero entry in each row and each column}\}$

We observe the following:

- (i) If  $T_1, T_2 \in \mathcal{T}$ , then  $T_1 T_2 \in \mathcal{T}$ . However,  $T_1$  and  $T_2$  need not commute and hence elements of  $\mathcal{T}$  are not simultaneously diagonalizable with respect to  $\{e_n\}_0^\infty$ .
- (ii) If  $T \in \mathcal{T}$  then its Hilbert adjoint  $T^*$  and inverse  $T^{-1}$  are also in  $\mathcal{T}$ .
- (iii) Elements of  $\mathcal{T}$  may not be self adjoint or normal.

The unilateral shift  $S$  on  $l_B^2(K)$  is defined as  $S(f_0, f_1, \dots) = (0, f_0, f_1, \dots)$ . Clearly,  $S$  is bounded if and only if  $\sup_{i,j} \frac{\|B_{j+1} e_i\|}{\|B_j e_i\|} < \infty$ . Here we will determine the minimal reducing subspaces of  $S$  on  $l_B^2(K)$ , where the weight sequence  $B = \{B_n\}$  is in  $\mathcal{T}$ . We recall that for a bounded linear operator  $T$  on a Hilbert space  $H$ , a subspace  $M$  of  $H$  is said to be invariant for  $T$  if  $T(M) \subseteq M$ . If the subspace  $M$  is invariant for both  $T$  and  $T^*$ , then  $M$  is said to be reducing for  $T$ . A reducing subspace  $M$  is said to be minimal reducing if the only reducing subspaces contained in  $M$  are  $\{0\}$  and  $M$  itself.

## 2 An equivalence relation

We know that the elements of  $\mathcal{T}$  have a specific type of matrix representation with respect to  $\{e_i\}_{i \in \mathbb{N}_0}$ . Let  $T \in \mathcal{T}$  and for  $j \in \mathbb{N}_0$  let  $\gamma_j$  denote the non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $T$  with respect to  $\{e_i\}_{i=0}^\infty$ . Then there exists a unique bijective map  $\psi : \mathbb{N}_0 \rightarrow \mathbb{N}_0$  such that  $\gamma_j$  occurs at the  $\psi(j)^{\text{th}}$  row. Thus if  $[a_{i,j}]$  ( $i, j \in \mathbb{N}_0$ ) denotes the matrix of  $T$  with respect

to  $\{e_i\}_{i=0}^\infty$ , then

$$a_{i,j} := \begin{cases} \gamma_j, & \text{if } i = \psi(j); \\ 0, & \text{otherwise.} \end{cases}$$

Thus for each  $j \in \mathbb{N}_0$ ,  $Te_j = \gamma_j e_{\psi(j)}$ . Also  $\|T\| = \sup_j |\gamma_j|$ .

As we are considering the operator weighted sequence space  $l_B^2(K)$ , where the uniformly bounded weight sequence  $B = \{B_n\}$  is in  $\mathcal{T}$ , so for each  $n \in \mathbb{N}_0$  there exists a unique bijective map  $\psi_n$  on  $\mathbb{N}_0$  such that  $B_n e_j = \gamma_j^{(n)} e_{\psi_n(j)}$ , where  $\gamma_j^{(n)}$  denotes the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ .

**Definition 2.1.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . The weight sequence  $\{B_n\}$  is said to be of *type I* if for each pair of distinct non negative integers  $m$  and  $n$  there exist some positive integer  $k$  such that  $\frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \neq \frac{\gamma_n^{(k)}}{\gamma_n^{(0)}}$ . Otherwise, it is said to be of *type II*. Thus  $\{B_n\}$  is of *type II* if there exist distinct non negative integers  $m$  and  $n$  such that  $\frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} = \frac{\gamma_n^{(k)}}{\gamma_n^{(0)}}$  for every positive integer  $k$ .

**Definition 2.2.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . Two non negative integers  $m$  and  $n$  are said to be *B-related* (denoted by  $m \sim^B n$ ) if for every positive integer  $k$ , we have  $\frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} = \frac{\gamma_n^{(k)}}{\gamma_n^{(0)}}$ . Clearly,  $\sim^B$  is an equivalence relation on the set  $\mathbb{N}_0$ .

**Definition 2.3.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ . A weight sequence  $\{B_n\}$  of *type II* is said to be of *type III* if  $\sim^B$  partitions  $\mathbb{N}_0$  into a finite number of equivalence classes.

*Remark 2.4.* The above definitions are motivated by similar definitions given in [2]. In fact for  $\dim K = N < \infty$  the two definitions refer to the same idea. In [2] the minimal reducing subspaces of  $M_z^N (N > 1)$  on the space  $H_w^2 := \{f(z) = \sum_{k=0}^\infty a_k z^k : \|f\|_w^2 = \sum w_k |a_k|^2 < \infty\}$  is determined, where  $w = \{w_0, w_1, \dots\}$  is a sequence of positive numbers. If in the present study we consider  $\dim K = N$ , and for each  $n \in \mathbb{N}_0$  define  $B_n = \text{diag}(\sqrt{w_{nN}}, \sqrt{w_{nN+1}}, \dots, \sqrt{w_{(n+1)N-1}})$ , then  $M_z^N$  on  $H_w^2$  is unitarily equivalent to the unilateral shift  $S$  on  $l_B^2(K)$ .

**Definition 2.5.** Let  $F = \sum_{i \in \mathbb{N}_0} \alpha_i f_{i,0}$  be a non-zero vector in  $l_B^2(K)$ . The order of  $F$ , denoted as  $o(F)$ , is defined as the smallest non negative integer  $m$  such that  $\alpha_m \neq 0$ .

**Definition 2.6.** If  $f = \sum_{i \in \mathbb{N}_0} \alpha_i e_i$  is a non-zero vector in  $K$ , then order of  $f$ , denoted as  $o(f)$ , is defined to be the smallest non negative integer  $m$  such that  $\alpha_m \neq 0$ .

**Definition 2.7.** Let  $Y$  be a non-zero non-empty subset of  $K$ . Then order of  $Y$ , denoted as  $o(Y)$ , is defined to be the non negative integer  $m$  satisfying the following conditions:

- (i)  $o(f) \geq m$  for all  $f \in Y$ , and
- (ii) there exists  $\tilde{f} \in Y$  such that  $o(\tilde{f}) = m$ .

**Definition 2.8.** Let  $X$  be a subset of  $l_B^2(K)$  and  $\mathcal{L}_X := \{f_0 : (f_0, f_1, \dots) \in X\}$ . If  $\mathcal{L}_X$  is a non-zero subset of  $K$ , then order of  $X$ , denoted as  $o(X)$ , is defined as  $o(\mathcal{L}_X)$ .

**Definition 2.9.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ . A linear expression  $F = \sum_{i \in \mathbb{N}_0} \alpha_i f_{i,0}$  in  $l_B^2(K)$  is said to be  $B$ -transparent if for every pair of non-zero scalars  $\alpha_i$  and  $\alpha_j$ , we have  $i \sim^B j$ .

**Definition 2.10.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . Let  $\mathcal{S}$  be the vector space of all finite linear combinations of finite products of  $S$  and  $S^*$ . For non-zero  $F \in l_B^2(K)$ , let  $\mathcal{S}F := \{TF : T \in \mathcal{S}\}$ . Then the closure of  $\mathcal{S}F$  in  $l_B^2(K)$  is a reducing subspace of  $S$ , denoted by  $X_F$ . Clearly  $X_F$  is the smallest reducing subspace of  $l_B^2(K)$  containing  $F$ .

**Lemma 2.11.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . If  $S$  is the unilateral shift on  $l_B^2(K)$ , then for  $i, j \in \mathbb{N}_0$ , the following will hold:

- (i)  $S^* f_{i,j} = \begin{cases} 0 & \text{if } j = 0, \\ \left| \frac{\gamma_i^{(j)}}{\gamma_i^{(j-1)}} \right| f_{i,j-1} & \text{if } j > 0. \end{cases}$
- (ii) For any non negative integer  $k$ ,  $(S^k)^* S^k f_{i,j} = \left| \frac{\gamma_i^{(j+k)}}{\gamma_i^{(j)}} \right|^2 f_{i,j}$ .

*Proof.* (i) For  $i \in \mathbb{N}_0$ ,  $\langle SX, f_{i,0} \rangle = 0$  for all  $X \in l_B^2(K)$ , which implies  $S^* f_{i,0} = 0$ .

Next let  $X = (x_0, x_1, \dots) \in l_B^2(K)$  and  $x_j = \sum_{t=0}^{\infty} \alpha_t^{(j)} e_t$  for each  $j \in \mathbb{N}_0$ .

Then for  $j > 0$ , we have  $\langle SX, f_{i,j} \rangle = \frac{1}{|\gamma_i^{(j)}|} \langle B_j x_{j-1}, B_j e_i \rangle = \alpha_i^{(j-1)} |\gamma_i^{(j)}|$ .

Choosing  $\lambda_{i,j} = \left| \frac{\gamma_i^{(j)}}{\gamma_i^{(j-1)}} \right|$ , we get

$$\langle X, \lambda_{i,j} f_{i,j-1} \rangle = \frac{\lambda_{i,j}}{|\gamma_i^{(j-1)}|} \langle B_{j-1} x_{j-1}, B_{j-1} e_i \rangle = \frac{\lambda_{i,j}}{|\gamma_i^{(j-1)}|} \alpha_i^{(j-1)} \|B_{j-1} e_i\|^2 = \alpha_i^{(j-1)} |\gamma_i^{(j)}|.$$

Therefore,  $\langle SX, f_{i,j} \rangle = \langle X, \lambda_{i,j} f_{i,j-1} \rangle$ , and so  $S^* f_{i,j} = \lambda_{i,j} f_{i,j-1}$  for  $j > 0$ .

(ii) As  $S^* S f_{i,j} = \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| S^* f_{i,j+1} = \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right|^2 f_{i,j}$ , so the result holds for  $k = 1$ .

Suppose,  $(S^*)^n S^n f_{i,j} = \left| \frac{\gamma_i^{(j+n)}}{\gamma_i^{(j)}} \right|^2 f_{i,j}$  holds for  $n = k$ . We will show that it also holds for  $n = k + 1$ .

$$\begin{aligned} (S^*)^{k+1} S^{k+1} f_{i,j} &= \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| S^* (S^{*k} S^k) f_{i,j+1} \\ &= \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| \left| \frac{\gamma_i^{(j+1+k)}}{\gamma_i^{(j+1)}} \right|^2 S^* f_{i,j+1} \\ &= \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| \left| \frac{\gamma_i^{(j+1+k)}}{\gamma_i^{(j+1)}} \right|^2 \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| f_{i,j} \\ &= \left| \frac{\gamma_i^{(j+1+k)}}{\gamma_i^{(j)}} \right|^2 f_{i,j} \end{aligned}$$

Thus, the results holds for all  $k$  by induction.  $\square$

**Lemma 2.12.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . Let  $F = \sum_{i=0}^{\infty} \alpha_i f_{i,0}$  be  $B$ -transparent in  $l_B^2(K)$  with  $o(F) = m$ . If for each  $k \in \mathbb{N}_0$ ,  $\tilde{F}_k := \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right| \sum_{i=0}^{\infty} \alpha_i f_{i,k}$ , then the following will hold:*

(i)  $(S^k)^* S^k F = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right|^2 F$ .

(ii)  $S \tilde{F}_k = \tilde{F}_{k+1}$  and  $S^* \tilde{F}_k = \begin{cases} 0, & \text{if } k = 0; \\ \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(k-1)}} \right|^2 \tilde{F}_{k-1}, & \text{if } k > 0. \end{cases}$

(iii)  $X_F$  is the closed linear span of  $\{\tilde{F}_k : k \in \mathbb{N}_0\}$ .

*Proof.* Since  $o(F) = m$  so  $\alpha_i = 0, \forall i < m$ . Let  $\Lambda = \{i \geq m : \alpha_i \neq 0\}$ . Then  $m \in \Lambda$ , and for  $i \in \Lambda$ ,  $\frac{\gamma_i^{(k)}}{\gamma_i^{(0)}} = \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}}$  for each positive integer  $k$ .

(i) For  $i \in \Lambda$  and positive integer  $k$ , by Lemma 2.11(ii) we have

$$(S^k)^* S^k f_{i,0} = \left| \frac{\gamma_i^{(k)}}{\gamma_i^{(0)}} \right|^2 f_{i,0} = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right|^2 f_{i,0}.$$

$$\text{Thus, } (S^k)^* S^k F = (S^k)^* S^k \left( \sum_{i \in \Lambda} \alpha_i f_{i,0} \right) = \sum_{i \in \Lambda} \alpha_i \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right|^2 f_{i,0} = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right|^2 F.$$

(ii) For  $i, j \in \mathbb{N}_0$ ,  $S f_{i,j} = \left| \frac{\gamma_i^{(j+1)}}{\gamma_i^{(j)}} \right| f_{i,j+1}$ , and so

$$S \tilde{F}_k = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right| \sum_{i \in \Lambda} \alpha_i S f_{i,k} = \sum_{i \in \Lambda} \alpha_i \left| \frac{\gamma_i^{(k)}}{\gamma_i^{(0)}} \right| \left| \frac{\gamma_i^{(k+1)}}{\gamma_i^{(k)}} \right| f_{i,k+1} = \left| \frac{\gamma_m^{(k+1)}}{\gamma_m^{(0)}} \right| \sum_{i \in \Lambda} \alpha_i f_{i,k+1} = \tilde{F}_{k+1}.$$

As  $S^* f_{i,0} = 0$  so  $S^* \tilde{F}_0 = 0$ .

$$\text{For } k > 0, S^* \tilde{F}_k = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right| \sum_{i=0}^{\infty} \alpha_i S^* f_{i,k} = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(0)}} \right| \sum_{i=0}^{\infty} \alpha_i \left| \frac{\gamma_i^{(k)}}{\gamma_i^{(k-1)}} \right| f_{i,k-1} = \left| \frac{\gamma_m^{(k)}}{\gamma_m^{(k-1)}} \right|^2 \tilde{F}_{k-1}$$

(iii) By (ii) each  $\tilde{F}_k \in X_F$  and so the *closed linear span*  $\{\tilde{F}_k : k \in \mathbb{N}_0\}$  is a non-zero reducing subspace of  $W$  contained in  $X_F$ . Thus, by minimality of  $X_F$ , we have  $X_F = \text{closed linear span}\{\tilde{F}_k : k \in \mathbb{N}_0\}$ .  $\square$

**Definition 2.13.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . Let  $\Omega_1, \Omega_2, \dots$  be the disjoint equivalence classes of  $\mathbb{N}_0$  under the relation  $\sim^B$ . Consider  $F = \sum_{i=0}^{\infty} \alpha_i f_{i,0}$  in  $l_B^2(K)$ . For each  $k$ , let  $q_k := \sum_{i \in \Omega_k} \alpha_i g_{i,0}$ . Dropping those  $q_k$  which are zero, the remaining  $q_k$ 's are arranged as  $f_1, f_2, \dots$  in such a way that for  $i < j$  we have  $o(f_i) < o(f_j)$ . The resulting decomposition  $F = f_1 + f_2 + \dots$  is called the canonical decomposition of  $F$ . Clearly each  $f_i$  is  $B$ -transparent in  $l_B^2(K)$ .

If there exists a finite positive integer  $n$  such that  $F = f_1 + f_2 + \dots + f_n$ , then  $F$  in the above case is said to have a finite canonical decomposition.

**Lemma 2.14.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . Let  $S$  be the unilateral shift on  $l_B^2(K)$ , and  $X$  be a reducing subspace of  $S$  in  $l_B^2(K)$ . If  $F = \sum_{i \in \mathbb{N}_0} \alpha_i f_{i,0}$  in  $X$  has a finite canonical decomposition  $F = f_1 + f_2 + \dots + f_n$ , then each  $f_i$  is in  $X_F$ .

*Proof.* Let  $o(f_i) = m_i$  so that  $m_1 < m_2 < \dots < m_n$  and no two of them are  $B$ -related. For  $2 \leq i \leq n$ , as  $m_1 \approx^B m_i$ , and so there exists a positive integer  $k_i$  such that  $\frac{\gamma_{m_1}^{(k_i)}}{\gamma_{m_1}^{(0)}} \neq \frac{\gamma_{m_i}^{(k_i)}}{\gamma_{m_i}^{(0)}}$ . Let  $k_i$  be the smallest positive integer having this property.

$$\text{Let } q_1 := F \text{ and for } 2 \leq i \leq n, q_i := \left[ \left| \frac{\gamma_{m_i}^{(k_i)}}{\gamma_{m_i}^{(0)}} \right|^2 - (S^{k_i})^* S^{k_i} \right] q_{i-1}.$$

Then  $q_i \in X_F \forall 1 \leq i \leq n$ . Also  $q_n = (\beta_2 \dots \beta_n) f_1$ , where  $\beta_i = \left| \frac{\gamma_{m_i}^{(k_i)}}{\gamma_{m_i}^{(0)}} \right|^2 - \left| \frac{\gamma_{m_1}^{(k_i)}}{\gamma_{m_1}^{(0)}} \right|^2$  for  $2 \leq i \leq n$ . As each  $\beta_i \neq 0$  so  $q_n \in X_F \implies f_1 \in X_F$ .

In a similar way it can be shown that  $f_2, \dots, f_n$  are also in  $X_F$ .  $\square$

### 3 An Extremal Problem

**Theorem 3.1.** Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . Let  $X$  be a non zero reducing

subspace of  $S$  in  $l_B^2(K)$  with  $o(X) = m$ . Then the extremal problem

$$\sup\{Re \alpha_m : F = (f_0, f_1, \dots) \in X, \|F\| \leq 1, f_0 = \sum_{i \in \mathbb{N}_0} \alpha_i e_i.\}$$

has a unique solution  $G = \sum_{i \in \mathbb{N}_0} \alpha_i g_{i,0} \in X$  with  $\|G\| = 1$  and  $o(G) = m$ .

*Proof.* For  $F = (f_0, f_1, \dots) \in X$ , we define  $\varphi : X \rightarrow \mathbb{C}$  as  $\varphi(F) = \alpha_m$  where  $f_0 = \sum_{i \in \mathbb{N}_0} \alpha_i e_i$ . Since  $o(X) = m$ , so  $\varphi$  is a non zero bounded linear functional on  $X$ . From [1], it follows that the extremal problem has a unique solution  $G$  in  $X$  such that  $\|G\| = 1$ ,  $\varphi(G) > 0$  and

$$\begin{aligned} \varphi(G) &= \sup\{Re \varphi(F) : F \in X, \|F\| \leq 1\} \\ &= \sup\{Re \alpha_m : F = (f_0, f_1, \dots) \in X, \|F\| \leq 1, f_0 = \sum_{i \in \mathbb{N}_0} \alpha_i e_i.\} \end{aligned}$$

We claim that  $G$  has the form  $G = \sum_i \alpha_i f_{i,0}$  with  $o(G) = m$ .

If  $F \in X$  and  $\|F\| < 1$ , then by maximality of  $G$  we must have  $Re \varphi(F) < \varphi(G)$ . Now as  $Re \varphi(G + SF) = \varphi(G) \forall F \in X$ , so we must have  $\|G + SF\| \geq 1$ . This implies that  $G \perp SF \forall F \in X$ . In particular  $\langle G, SS^*G \rangle = 0$  which implies that  $S^*G = 0$ . Thus  $G$  is of the form  $G = \sum_{i \in \mathbb{N}_0} \alpha_i f_{i,0}$ . Also  $\varphi(G) > 0$  and  $o(X) = m$  together imply  $o(G) = m$ .  $\square$

Note: The function  $G$  in Theorem 3.1 will be called the *extremal function* of  $X$ .

**Theorem 3.2.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . If the extremal function of a non-zero reducing subspace of  $S$  in  $l_B^2(K)$  has a finite canonical decomposition, then it must be  $B$ -transparent.*

*Proof.* Let  $X$  be a non-zero reducing subspace of  $S$  in  $l_B^2(K)$  and  $G = \sum_i \alpha_i f_{i,0}$  be its extremal function with  $o(G) = m$ . Let  $G = g_1 + g_2 + \dots + g_n$  be the finite canonical decomposition of  $G$ . Each  $g_i$  is  $B$ -transparent and also by Lemma 2.14, each of them is in  $X_G$ . Clearly  $o(g_1) = m$  and  $\|g_1\| \leq \|G\| = 1$ . So by extremality of  $G$ , we must have  $G = g_1$ . Thus  $G$  is  $B$ -transparent.  $\square$

## 4 Minimal reducing subspaces

**Theorem 4.1.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and for each  $n \in \mathbb{N}_0$  let  $\gamma_j^{(n)}$  denote the unique non zero entry occurring in the  $j^{\text{th}}$  column of the matrix of  $B_n$ . Also let  $S$  be the unilateral shift on  $l_B^2(K)$ . If  $X$  is a minimal reducing subspace of  $S$  in  $l_B^2(K)$  and  $F = \sum_i \alpha_i f_{i,0}$  is in  $X$ , then  $F$  is  $B$ -transparent.*

*Proof.* Let  $o(F) = m$  and, if possible  $F$  is not  $B$ -transparent. So we must have a positive integer  $k > m$  such that  $\alpha_k \neq 0$  and  $k \approx^B m$ . This means that there exists a positive integer  $l$  such that  $\frac{\gamma_k^{(l)}}{\gamma_k^{(0)}} \neq \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}}$ .

We define  $G := (S^l)^* S^l F - \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 F$ . Clearly,  $G$  is in  $X$ , and we get

$$\begin{aligned} G &= (S^l)^* S^l F - \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 F \\ &= (S^l)^* S^l \left( \sum_{i=m}^{\infty} \alpha_i f_{i,0} \right) - \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 \left( \sum_{i=m}^{\infty} \alpha_i f_{i,0} \right) \\ &= \sum_{i=m}^{\infty} \alpha_i \left| \frac{\gamma_i^{(l)}}{\gamma_i^{(0)}} \right|^2 f_{i,0} - \sum_{i=m}^{\infty} \alpha_i \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 f_{i,0} \\ &= \sum_{i=m+1}^{\infty} \alpha_i \left[ \left| \frac{\gamma_i^{(l)}}{\gamma_i^{(0)}} \right|^2 - \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 \right] f_{i,0} \end{aligned}$$

Thus,  $G = \sum_{i=m+1}^{\infty} \gamma_i f_{i,0}$ , where  $\gamma_i = \alpha_i \left[ \left| \frac{\gamma_i^{(l)}}{\gamma_i^{(0)}} \right|^2 - \left| \frac{\gamma_m^{(l)}}{\gamma_m^{(0)}} \right|^2 \right]$ . Also since  $\gamma_k \neq 0$  so  $G \neq 0$ . Moreover,  $o(F) < o(G) \implies F \notin X_G$ . Hence  $X_G$  is a non-zero reducing subspace properly contained in  $X$  which contradicts the minimality of the  $X$ . Hence  $F$  must be  $B$ -transparent.  $\square$

As an immediate corollary of the above result we have the following :

**Corollary 4.2.** *The extremal function of a minimal reducing subspace of  $S$  in  $l_B^2(K)$  is always  $B$ -transparent.*

**Theorem 4.3.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . Let  $X$  be a reducing subspace of  $S$  in  $l_B^2(K)$ . Then  $X$  is minimal if and only if  $X = X_F$  where  $F$  is  $B$ -transparent.*

*Proof.* If  $X$  is minimal and  $G$  is the associated extremal function, then the reducing subspace  $X_G \subseteq X$ . The minimality of  $X$  gives  $X = X_G$ . Note that by Corollary 4.2,  $G$  is  $B$ -transparent.

Conversely, let  $X = X_F$ , where  $F$  is  $B$ -transparent. Clearly  $X_F$  is a reducing subspace. We claim that  $X_F$  is minimal. Let  $Y$  be a non zero reducing subspace of  $S$  contained in  $X_F$  and  $H$  be its extremal function, which is transparent. Then  $H \in X_F$  and so by Lemma 2.12(i),  $H$  is a scalar multiple of  $F$ . In particular,  $F \in Y$ . Thus,  $Y = X_F$  which means that  $X_F$  must be minimal.  $\square$

**Corollary 4.4.** *Every reducing subspace of  $S$  in  $l_B^2(K)$ , whose extremal function has a finite canonical decomposition, contains a minimal reducing subspace.*

*Proof.* The proof follows immediately from Theorem 3.2 and Theorem 4.3.  $\square$

## 5 Conclusion

**Theorem 5.1.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . If the weight sequence  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type I, then  $X_{f_{n,0}}$  for  $n \in \mathbb{N}_0$  are the only minimal reducing subspaces of  $S$  in  $l_B^2(K)$ .*

*Proof.* Let  $X$  be a minimal reducing subspace of  $S$  and  $G$  be its extremal function so that  $X = X_G$ . Since the weight sequence  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type I, so the only transparent functions are  $f_{n,0}$  for  $n \in \mathbb{N}_0$  and their scalar multiples. The result now follows from Theorem 4.3.  $\square$

**Theorem 5.2.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . If  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type II, then  $S$  has minimal reducing subspaces other than  $X_{f_{n,0}}$ ,  $n \in \mathbb{N}_0$ .*

*Proof.* Since the weight sequence  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type II, so we can form a transparent function  $F = \sum_{i \in \mathbb{N}_0} \alpha_i f_{i,0}$  where more than one  $\alpha_i$ 's are non zero. Clearly,  $X_F$  is a minimal reducing subspace of  $S$  in  $l_B^2(K)$  such that  $X_F \neq X_{f_{n,0}}$  for any  $n \in \mathbb{N}_0$ .  $\square$

**Theorem 5.3.** *Let  $B = \{B_n\}_{n \in \mathbb{N}_0}$  be a uniformly bounded sequence of operators in  $\mathcal{T}$ , and  $S$  be the unilateral shift on  $l_B^2(K)$ . If  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type III, then every reducing subspace of  $S$  in  $l_B^2(K)$  must contain a minimal reducing subspace.*

*Proof.* Let  $X$  be a reducing subspace of  $S$  and  $G$  be its extremal function. Since the weight sequence  $\{B_n\}_{n \in \mathbb{N}_0}$  is of type III, so  $G$  must have a finite canonical decomposition, say  $g_1 + g_2 + \dots + g_n$ . By Lemma 2.14, for each  $1 \leq i \leq n$ ,  $g_i \in X$  and so each  $X_{g_i}$  is a minimal reducing subspace of  $S$  in  $l_B^2(K)$  contained in  $X$ .  $\square$

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